



**SAN JOSE POLICE DEPARTMENT**  
**Division of Gaming Control**  
**675 North First Street**  
**Suite 1000**  
**San Jose, Ca 95112**



## **APPLICATION FOR A GAMING LICENSE RENEWAL**

*(Stock Ownership, Landowner, City Designated Key Employee, Financial Source, Funding Source Owner, and Funding Source Key Employee Licenses)*

### **INSTRUCTIONS**

PLEASE READ ALL INSTRUCTIONS CAREFULLY BEFORE SUBMITTING THIS APPLICATION.

1. Sign, notarize and submit the following with the application to the Division of Gaming Control:
  - Declaration of Truth and Waiver and Release form (page 6);
  - General Authorization of Disclosure of Information on Cardroom License form (page 7); and,
  - Customer Authorization of Disclosure of Information on Cardroom License form (page 8).
2. You must make accurate statements and include all material facts. Do not misstate or omit any material fact(s) as each statement made herein is subject to verification. Any corrections, changes or other alterations must be initialed and dated by the applicant. Any misrepresentation, or the failure to provide requested information, may result in the denial of your application.
4. All entries on this application, except initials and signatures, must be typed or printed in block lettering using dark ink. If your application is not legible, it will not be accepted.
5. Check to ensure that you have placed your initials and the date at the upper corner of each page of this application form in the space provided and on any attachment pages therewith.
6. Once your application is accepted, it becomes the property of the Division of Gaming Control and will not be returned. A request for withdrawal of an application must be made in writing prior to the Administrator's submission of his or her Final Report and Recommendation to the Chief of Police.
7. It is recommended that you retain a completed copy of your application package for your own records.

Applicant's Initials / Date

**PART I – APPLICANT AND CARDROOM INFORMATION**

A. Name of Applicant: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

Home Address: \_\_\_\_\_  
(If Different Than Mailing Address)

Telephone Number: (\_\_\_\_\_) \_\_\_\_\_

B. Name of Cardroom: \_\_\_\_\_

C. For the purpose of this license, who will be your employer?

Bay 101       Casino M8trix       Other: \_\_\_\_\_  
Independent Contractor/Company Name

Business Address: \_\_\_\_\_

Telephone Number: (\_\_\_\_\_) \_\_\_\_\_

D. Please Mark Appropriate Box(e s):

1.  Renewal Application for Stockownership License

2.  Renewal Application for Cardroom Key Employee License \_\_\_\_\_  
(Please Specify Position)

3.  Renewal Application for Landowner License

4.  Renewal Application for Financial Source License

5.  Renewal Application for Funding Source Owner's License

6.  Renewal Application for Funding Source Key Employee License \_\_\_\_\_  
(Please Specify Position)

Applicant's Initials / Date

## **PART II - PERSONAL HISTORY INFORMATION**

### A. PERSONAL

1. Full Name: \_\_\_\_\_  
Last First Middle
  
2. Alias(es), Nicknames, Maiden Name, Other Name Changes, Legal or Otherwise: \_\_\_\_\_  
\_\_\_\_\_
  
3. Current Residence Address: \_\_\_\_\_  
Street City County State Zip \_\_\_\_\_
  
4. Telephone: Residence: (\_\_\_\_\_) \_\_\_\_\_ Business: (\_\_\_\_\_) \_\_\_\_\_
  
5. Current Driver License or Identification Card No./State Issued: \_\_\_\_\_
  
6. Sex: Male \_\_\_\_ Female \_\_\_\_
  
7. Has there been a change in your citizenship since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
8. Has there been a change in your marital status since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
9. Are you subject to a court order for the support of a child since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
10. Has there been a change with respect to the business, corporation and partnership with which you have been associated as an owner, officer, director, active shareholder, partner or other related capacity since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
11. Have you or your spouse ever been arrested or charged with any crime or offense in any jurisdiction since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
12. Has a criminal indictment, information, or complaint ever been filed or returned against you or your spouse, but for which neither you nor your spouse was not arrested or in which neither you nor your spouse was named as an un-indicted party or un-indicted co-conspirator in any criminal proceeding in any jurisdiction since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
13. Have you or your spouse ever been the subject of an investigation conducted by any governmental agency/organization, court, commission, committee, grand jury or investigatory body (local, state, county, federal, etc.) other than in response to a traffic summons since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_
  
14. Have you or has your spouse ever had a civil or criminal record expunged or sealed by a court order since you were last issued a gaming license? Yes \_\_\_\_ No \_\_\_\_

**PART III - PERSONAL FINANCIAL INFORMATION**

**NET-WORTH STATEMENT**

Date of Net-Worth Statement \_\_\_\_\_

Please list all assets, tangible and intangible, in which a direct or indirect interest is held by you, your spouse or your dependent children as of the date of this statement. All items shown below must be supported by documentation.

ASSETS	Cost at Date Acquired or Purchased	Current Market Value	Special Valuation Date, If Any
1. Cash			
a) On Hand		a)	
b) In Bank		b)	b)
Loans, Notes and Other Receivables			
2. Securities			
3. Business Investments			
4. Real Estate Interests			
Cash Value of Life Insurance			
5. Cash Value Pension/Retirement Funds			
6. Furniture and Clothing			
Vehicles			
7. Other Assets			
<b>Total Assets</b>			

LIABILITY	Original Amount of Liability	Amount Outstanding
8. Notes Payable		
9. Loans and Other Payables		
10. Taxes Payable		
11. Mortgages or Liens on Real Estate		
12. Loans Against Insurance/Pension		
13. Other Liabilities		
<b>Total Liabilities</b>		

Net Worth = Total Assets (Column B) minus Total Liabilities (Column D)		
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Applicant's Initials / Date

14. Contingent Liabilities		
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Applicant's Initials / Date

**DECLARATION OF TRUTH AND WAIVER AND RELEASE**

I, \_\_\_\_\_, declare that I am the applicant who is submitting this application form; that I personally supplied the information contained in this application form; that I have read the foregoing Renewal Application for a City of San Jose Cardroom Gaming License and I know the contents contained herein are true and correct and contain a full and true account of the information requested; that I understand and read the English language or I have had an interpreter read, explain, and record the answer to each and every question on this application form; that any document accompanying this application form that is not an original document is a true copy of the original document; that I executed this declaration with the knowledge that misrepresentation or failure to reveal information requested may be deemed sufficient cause for denial or an application or revocation of a Cardroom Gaming License. Furthermore, I have familiarized myself with the contents of Title 16 of the San Jose Municipal Code, and all regulations of the Division of Gaming Control as promulgated and agree to abide thereby.

I expressly waive, release and forever discharge the City of San Jose and its officers, employees and agents from any and all manner of action and causes of action whatsoever which I, my administrators or executors, can, shall, or may have against the City of San Jose and its officers, employees and agents relating to this Application for a City of San Jose Cardroom Gaming License.

I declare under penalty of perjury under the laws of the State of California, that the foregoing is true, correct, and complete.

Executed at \_\_\_\_\_, on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
City State

\_\_\_\_\_  
Applicant Signature

\_\_\_\_\_  
Print Name of Applicant

State of California  
County of \_\_\_\_\_

On \_\_\_\_\_ before me, \_\_\_\_\_ personally  
(insert name & title of officer)  
appeared \_\_\_\_\_, who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature \_\_\_\_\_

(Seal)

Applicant's Initials / Date

**SAN JOSE POLICE DEPARTMENT  
DIVISION OF GAMING CONTROL**

**GENERAL AUTHORIZATION OF DISCLOSURE OF INFORMATION  
ON CARDROOM LICENSE APPLICANT**

The person whose signature appears below is, either by blood, marriage or by other legal means, related to a person who has filed an application for a cardroom license (hereafter referred to as Applicant, collectively) with the Administrator of Gaming Control for the City of San Jose pursuant to Chapter 16.32 of Title 16 of the San Jose Municipal Code. The Administrator has the authority under Section 16.06.030 of Chapter 16.06 of Title 16 of the San Jose Municipal Code to investigate the applicant's qualifications for the cardroom license and to require the applicant to provide the information the Administrator seeks in order to make a recommendation to the Chief of Police on the license application.

Any information, documents, records, or writings that a person provides pursuant to this authorization shall be used solely for the administration of Title 16 of the San Jose Municipal Code and shall not be disclosed by the Administrator except as required by applicable law.

This authorization is effective as of the date of execution and shall remain in effect for 180 days thereafter or until the Administrator makes a recommendation to the Chief of Police on the license application, whichever event occurs last.

The applicant states as follows:

1. I hereby authorize and request all persons to whom this authorization is presented, having any information, documents, records, or writings of any nature whatsoever relating to or concerning me, to disclose such information, documents, records, or writings as the Administrator of Gaming Control or any authorized designee of the Administrator may request.
2. I hereby authorize and request all persons having information, documents, records, or writings of any nature or kind whatsoever relating to or concerning me, to permit the Administrator of Gaming Control or any authorized designee of the Administrator to review and copy any and all such information, documents, records, or writings as the Administrator or any authorized designee of the Administrator may request to review and copy.
3. I hereby authorize a photocopy of this authorization, duly executed by me, to be treated for all intents and purposes as valid as the original.

Executed at \_\_\_\_\_, on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
City State

\_\_\_\_\_  
Applicant Signature

\_\_\_\_\_  
Print Name of Applicant

Subscribed and sworn to me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Notary Public

(SEAL)

Applicant's Initials / Date

**SAN JOSE POLICE DEPARTMENT  
DIVISION OF GAMING CONTROL**

**CUSTOMER AUTHORIZATION OF DISCLOSURE OF INFORMATION  
ON CARDROOM LICENSE APPLICANT**

The person whose signature appears below is, either by blood, marriage or by other legal means, related to a person who has filed an application for a cardroom license (hereafter referred to as Applicant, collectively) with the Administrator of Gaming Control for the City of San Jose pursuant to Chapter 16.32 of Title 16 of the San Jose Municipal Code. The Administrator has the authority under Section 16.06.030 of Chapter 16.06 of Title 16 of the San Jose Municipal Code to investigate the applicant's qualifications for the cardroom license and to require the applicant to provide the information the Administrator seeks in order to make a recommendation to the Chief of Police on the license application.

Any information, documents, records, or writings that a person provides pursuant to this authorization shall be used solely for the administration of Title 16 of the San Jose Municipal Code and shall not be disclosed by the Administrator except as required by applicable law.

This authorization is effective as of the date of execution and shall remain in effect for 180 days thereafter or until the Administrator makes a recommendation to the Chief of Police on the license application, whichever event occurs last.

The applicant states as follows:

1. Pursuant to California Government Code Sections 7470 and 7473, I hereby authorize and request any financial institution at which I am, have been, or will become a customer during the period of time this authorization remains in effect to disclose any and all of my financial records that the Administrator of Gaming Control for the City of San Jose or any authorized designee of the Administrator may request, whether such records relate to accounts that are currently maintained, accounts that have been closed, or accounts that are hereafter established during the period of time this authorization remains in effect.
2. I hereby authorize and request any financial institution referenced in Paragraph 5 above to permit the Administrator of Gaming Control or any authorized designee of the Administrator to review and copy any and all of my financial records or such information derived therefrom as the Administrator or any authorized designee of the Administrator may request to review and copy.
3. I understand and acknowledge that the terms "financial institution", "financial records", "person", and "customer" shall have the definitions given in California Government Code Section 7465.
4. I hereby authorize a photocopy of this authorization, duly executed by me, to be treated for all intents and purposes as valid as the original.

Executed at \_\_\_\_\_, on the \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.  
City State

\_\_\_\_\_  
Applicant Signature

\_\_\_\_\_  
Print Name of Applicant

Subscribed and sworn to me this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
Notary Public

(SEAL)